NOTE
From: Presidency
To: Working Party for Schengen Matters (Acquis) / Mixed Committee (EU/Iceland, Norway and Switzerland, Liechtenstein)
No. prev. doc.: 8110/17; 8411/17; WK 5002/17
- draft compromise text regarding alerts on persons and objects for discreet checks, inquiry checks or specific checks (Articles 36 and 37)


The Working Party for Schengen Matters (Acquis) has discussed the proposal at its meetings on 16 January 2017 (Articles 1 to 12), 8 February 2017 (Articles 13 to 19, 46 to 49B, and Articles 64 to 75), 6 and 7 March 2017 (Articles 20 to 40) and 3 May 2017 (Articles 40 to 45 and 50 to 63).
Taking into account the outcome of this first examination of this proposal by the Working Party and the written comments subsequently sent by the delegations, the Presidency presents in the Annex a draft compromise text of the abovementioned proposal, for the further continuation of the debates at the Working Party level.

General scrutiny reservations on this instrument are pending from AT, BG, CZ, DE, FI, HU, IT, LT, NL, PL, PT, SE, SI and UK. Parliamentary reservations are pending from DE, PL and UK. Reservations on specific provisions are indicated in footnotes.

Changes to the original Commission proposal are marked as follows: new or modified text is in bold underlined. Deletions are in strikethrough.

Articles marked with " * " are deemed as agreed at the Working Party level.
CHAPTER I

GENERAL PROVISIONS

Article 1*

General purpose of SIS

The purpose of SIS shall be to ensure a high level of security within the area of freedom, security and justice of the Union including the maintenance of public security and public policy and the safeguarding of security in the territories of the Member States, and to ensure the application of the provisions of Chapter 4 and Chapter 5 of Title V of Part Three of the Treaty on the Functioning of the European Union relating to the movement of persons on their territories, using information communicated via this system.

Article 2*

Scope

1. This Regulation establishes the conditions and procedures for the entry and processing in SIS of alerts on persons and objects, the exchange of supplementary information and additional data for the purpose of police and judicial cooperation in criminal matters.

2. This Regulation also lays down provisions on the technical architecture of SIS, the responsibilities of the Member States and of the European Agency for the operational management of large-scale IT systems in the area of freedom, security and justice, general data processing, the rights of the persons concerned and liability.
Article 3
Definitions

1. For the purposes of this Regulation, the following definitions shall apply:

(a) ‘alert’ means a set of data, including biometric identifiers as referred to in Article 22 and in Article 40, entered in SIS allowing the competent authorities to identify a person or an object with a view to taking specific action;

(b) ‘supplementary information’ means information not forming part of the alert data stored in SIS, but connected to SIS alerts, which is to be exchanged via the SIRENE Bureaux:

(1) in order to allow Member States to consult or inform each other when entering an alert;

(2) following a hit in order to allow the appropriate action to be taken;

(3) when the required action cannot be taken;

(4) when dealing with the quality of SIS data;

(5) when dealing with the compatibility and priority of alerts;

(6) when dealing with rights of access;

(c) ‘additional data’ means the data stored in SIS and connected with SIS alerts which are to be immediately available to the competent authorities where a person in respect of whom data has been entered in SIS is located as a result of searches made therein;

(d) ‘personal data’ means any information relating to an identified or identifiable natural person (‘data subject’);

(e) ‘an identifiable natural person’ is one who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that natural person;
(f) ‘processing of personal data’ means any operation or set of operations which is performed on personal data or on sets of personal data, whether or not by automated means, such as collection, logging, organisation, structuring, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, restriction, erasure or destruction;

(g) a ‘hit’ in SIS means:

1. a search is conducted by an end-user;
2. the search reveals an alert entered by another a Member State in SIS;
3. data concerning the alert in SIS match the search data; and
4. further actions are requested.

(h) ‘flag’ means a suspension of validity of an alert at the national level that may be added to alerts for arrest, alerts for missing persons and alerts for discreet, inquiry and specific checks, where a Member State considers that to give effect to an alert is incompatible with its national law, its international obligations or essential national interests. Where the alert is flagged, the requested action on the basis of the alert shall not be taken on the territory of this Member State.

(i) ‘issuing Member State’ means the Member State which entered the alert in SIS;

(j) ‘executing Member State’ means the Member State which takes or has taken the required actions following a hit;

(k) ‘end-users’ mean competent authorities directly searching CS-SIS, N.SIS or a technical copy thereof;
(ka) biometric identifiers data means personal data resulting from specific technical processing relating to the physical, physiological or behavioural characteristics of a natural person, which allow or confirm the unique identification of that natural person, such as facial images or dactyloscopic data;¹

(l) ‘dactyloscopic data’ means data on fingerprints and palm prints which due to their unique character and the reference points contained therein enable accurate and conclusive comparisons on a person's identity;

(la) 'facial image' means digital images of the face with sufficient image resolution and quality to be used in automated biometric matching;²

(lb) 'DNA profile' means a letter or number code which represents a set of identification characteristics of the noncoding part of an analysed human DNA sample, i.e. the particular molecular structure at the various DNA locations (loci);³

(m) ‘serious crime’ means offences listed in Article 2(1) and (2) of Framework Decision 2002/584/JHA of 13 June 2002;⁴

(n) ‘terrorist offences’ means offences under national law referred to in Articles 1 to 14 of Framework Decision 2002/475/JHA of 13 June 2002⁵.

¹ Same definition as in Article 3(13) of Directive (EU) 2016/680 on the protection of natural persons with regard to the processing of personal data by competent authorities for the purposes of the prevention, investigation, detection or prosecution of criminal offences or the execution of criminal penalties, and on the free movement of such data, and repealing Council Framework Decision 2008/977/JHA (OJ L 119, 4.5.2016, p. 89). However, in the EES proposal, 'biometric data' is defined as 'fingerprint data and facial image' (see Article 3(17) in 9465/17).

² Same definition as in the EES proposal (see Article 3(16) in 6960/17)


Article 4

Technical architecture and ways of operating SIS

1. SIS shall be composed of:

   (a) a central system (Central SIS) composed of:

       – a technical support function (‘CS-SIS’) containing a database, the ‘SIS database’,
       – a uniform national interface (NI-SIS);

   (b) a national system (N.SIS) in each of the Member States, consisting of the national data systems which communicate with Central SIS. An N.SIS shall contain a data file (a ‘national copy’), containing a complete or partial copy of the SIS database as well as a backup N.SIS. The N.SIS and its backup may be used simultaneously to ensure uninterrupted availability to end-users;

   (c) a communication infrastructure between CS-SIS and NI-SIS (the Communication Infrastructure) that provides an encrypted virtual network dedicated to SIS data and the exchange of data between SIRENE Bureaux as referred to in Article 7(2).

2. SIS data Member States shall be entered, updated, deleted and searched SIS data via the various N.SIS. A partial or a full national copy shall be available for the purpose of carrying out automated searches in the territory of each of the Member States using such a copy. The partial national copy shall contain at least the data listed in Article 20(2) concerning objects and the data listed in Article 20(3) (a) to (v) of this Regulation concerning alerts on persons. It shall not be possible to search the data files of other Member States' N.SIS.

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7 SI entered a scrutiny reservation on this Article.
8 FI, supported by NO, opposed the obligation for the Member States to have a national copy and entered a reservation on this provision. PT also entered a reservation on this provision, as it favoured the existence of national copies, taking into account the high number of future queries, in particular for the purposes of border checks.
3. CS-SIS shall perform technical supervision and administration functions and have a backup CS-SIS, capable of ensuring all functionalities of the principal CS-SIS in the event of failure of this system. CS-SIS and the backup CS-SIS shall be located in the two technical sites of the European Agency for the operational management of large-scale information systems in the area of freedom, security and justice established by Regulation (EU) No 1077/2011 (‘the Agency’). CS-SIS or backup CS-SIS may contain an additional copy of the SIS database and may be used simultaneously in active operation provided that each of them is capable to process all transactions related to SIS alerts.

4. CS-SIS shall provide the services necessary for the entry and processing of SIS data, including searches in the SIS database. CS-SIS shall:

   (a) provide online update of the national copies;

   (b) ensure synchronisation of and consistency between the national copies and the SIS database;

   (c) provide the operation for initialisation and restoration of the national copies;

   (d) provide uninterrupted availability.

Article 5*

Costs

1. The costs of operating, maintaining and further developing Central SIS and the Communication Infrastructure shall be borne by the general budget of the European Union.

2. These costs shall include work done with respect to CS-SIS that ensures the provision of the services referred to in Article 4(4).

3. The costs of setting up, operating, maintaining and further developing each N.SIS shall be borne by the Member State concerned.
CHAPTER II

RESPONSIBILITIES OF THE MEMBER STATES

Article 6

National systems

Each Member State shall be responsible for setting up, operating, maintaining and further developing its N.SIS and connecting its N.SIS to NI-SIS.

Each Member State shall be responsible for ensuring the continuous operation of the N.SIS, its connection to NI-SIS and the uninterrupted availability of SIS data to the end-users.

Each Member State shall transmit its alerts via its N.SIS.

Article 7

N.SIS Office and SIRENE Bureau

1. Each Member State shall designate an authority (the N.SIS Office), which shall have central responsibility for its N.SIS.

That authority shall be responsible for the smooth operation and security of the N.SIS, shall ensure the access of the competent authorities to the SIS and shall take the necessary measures to ensure compliance with the provisions of this Regulation. It shall be responsible for ensuring that all functionalities of SIS are appropriately made available to the end users.

Each Member State shall transmit its alerts via its N.SIS Office.

2. Each Member State shall designate the authority which shall ensure the exchange and availability of all supplementary information (the SIRENE Bureau) in accordance with the provisions of the SIRENE Manual, as referred to in Article 8.

Articles 6 to 14 are also applicable to the Returns Proposal (15812/16) by virtue of Article 13 of the Returns Proposal.

Moved from Article 7(1) in fine, excluding the word 'Office' at the end of the sentence.

Moved to Art. 6 in fine.
Those Bureaux shall also coordinate the verification of the quality of the information entered in SIS. For those purposes they shall have access to data processed in SIS.

3. The Member States shall inform the Agency of their N.SIS Office and of their SIRENE Bureau. The Agency shall publish the list of them together with the list referred to in Article 53(8).

*Article 8*

Exchange of supplementary information

1. Supplementary information shall be exchanged in accordance with the provisions of the SIRENE Manual and using the Communication Infrastructure. Member States shall provide the necessary technical and personal human resources to ensure the continuous availability and exchange of supplementary information. In the event that the Communication Infrastructure is unavailable, Member States may use other adequately secured technical means to exchange supplementary information.

2. Supplementary information shall be used only for the purpose for which it was transmitted in accordance with Article 61 unless prior consent is obtained from the issuing Member State.

3. The SIRENE Bureaux shall carry out their task in a quick and efficient manner, in particular by replying to a request as soon as possible but preferably not later than 12 hours after the receipt of the request.

4. The Commission shall adopt implementing acts to lay down detailed rules for the exchange of supplementary information in the form of a manual entitled the ‘SIRENE Manual’. Those implementing acts shall be adopted by means of implementing measures in accordance with the examination procedure referred to in Article 72(2) in the form of a manual called the ‘SIRENE Manual’.

12 DE, EL, ES, HU, LT, SE and SK expressed concerns regarding this provision. UK entered a reservation, as it opposed the insertion of the 12-hour period into the Regulation.
Article 9

Technical and functional compliance

1. When setting up its N.SIS, each Member State shall comply with common standards, protocols and technical procedures established to ensure the compatibility of its N-SIS with CS-SIS for the prompt and effective transmission of data. Those common standards, protocols and technical procedures shall be adopted by means of implementing measures in accordance with the examination procedure referred to in Article 72(2).13

2. Member States shall ensure, by means of the services provided by CS-SIS, that data stored in the national copy are, by means of automatic updates referred to in Article 4(4), identical to and consistent with the SIS database, and that a search in its national copy produces a result equivalent to that of a search in the SIS database. End-users shall receive the data required to perform their tasks, in particular all data required for the identification of the data subject and to take the required action.

3.14 The Commission shall adopt implementing acts to lay down and develop common standards, protocols and technical procedures, referred to in paragraph 1. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 72(2).

Article 10

Security – Member States

1. Each Member State shall15, in relation to its N.SIS, adopt the necessary measures, including a security plan, a business continuity plan and a disaster recovery plan in order to:

(a) physically protect data, including by making contingency plans for the protection of critical infrastructure;

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13 Moved to paragraph 3.
14 Moved from paragraph 1, in fine.
15 eu-LISA proposes to insert the words: "in consultation with the Agency".
(b) deny unauthorised persons access to data-processing facilities used for processing personal data (facilities access control);

(c) prevent the unauthorised reading, copying, modification or removal of data media (data media control);

(d) prevent the unauthorised input of data and the unauthorised inspection, modification or deletion of stored personal data (storage control);

(e) prevent the use of automated data-processing systems by unauthorised persons using data communication equipment (user control);

(f) ensure that persons authorised to use an automated data-processing system have access only to the data covered by their access authorisation, by means of individual and unique user identifiers and confidential access modes only (data access control);

(g) ensure that all authorities with a right of access to SIS or to the data processing facilities create profiles describing the functions and responsibilities of persons who are authorised to access, enter, update, delete and search the data and make these profiles available to the national supervisory authorities referred to in Article 66 without delay upon their request (personnel profiles);

(h) ensure that it is possible to verify and establish to which bodies personal data may be transmitted using data communication equipment (communication control);

(i) ensure that it is subsequently possible to verify and establish which personal data have been input into automated data-processing systems, when, by whom and for what purpose the data were input (input control);

(j) prevent the unauthorised reading, copying, modification or deletion of personal data during transfers of personal data or during transportation of data media, in particular by means of appropriate encryption techniques (transport control);

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16 Same wording as in Article 12(2) and (3) and Article 18(2) and (3).
(k) monitor the effectiveness of the security measures referred to in this paragraph and take
the necessary organisational measures related to internal monitoring (self-auditing).

2. Member States shall take measures equivalent to those referred to in paragraph 1 as regards
security in respect of the processing and exchange of supplementary information, including
securing the premises of the SIRENE Bureau.

3. Member States shall take measures equivalent to those referred to in paragraph 1 as regards
security in respect of the processing of SIS data by the authorities referred to in Article 43.

4. The measures described in paragraphs 1 to 3 may be part of a generic security approach
and plan at national level. However, the requirements foreseen in this Article and its
applicability to the SIS shall be clearly identifiable in and ensured by that plan.

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**Article 11**

*Confidentiality – Member States*

Each Member State shall apply its rules of professional secrecy or other equivalent duties of
confidentiality to all persons and bodies required to work with SIS data and supplementary
information, in accordance with its national law. That obligation shall also apply after those persons
leave office or employment or after the termination of the activities of those bodies.

**Article 12**

*Keeping of logs at national level*

1. Member States shall ensure that every access to and all exchanges of personal data within CS-
SIS are logged in their N.SIS for the purposes of checking whether or not the search is lawful,
monitoring the lawfulness of data processing, self-monitoring and ensuring the proper
functioning of N.SIS, data integrity and security. **This does not apply to the automatic
processes referred to in Article 4(4) (a), (b) and (c).**
2. The records shall show, in particular, the history of the alert, the date and time of the data processing activity, the data used to perform a search, a reference to the data transmitted and the names of both the competent authority and the person responsible for processing the data.

3. If the search is carried out with dactylographic data or facial image in accordance with Articles 40, 41 and 42 the logs shall show, in particular, the type of data used to perform a search, a reference to the type of data transmitted and the names of both the competent authority and the person responsible for processing the data.

4. The logs may be used only for the purpose referred to in paragraph 1 and shall be deleted at the earliest one year, and at the latest three years, after their creation.

5. Logs may be kept longer if they are required for monitoring procedures that are already under way.

6. The competent national supervisory authorities in charge of checking whether or not searches are lawful, monitoring the lawfulness of data processing, self-monitoring and ensuring the proper functioning of the N.SIS, data integrity and security, shall have access, within the limits of their competence and at their request, to these logs for the purpose of fulfilling their duties.

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17 Same wording as in paragraph 3 and Article 10(1)(f).
18 Same wording as in paragraph 2 and Article 10(1)(f).
7. Where Member States carry out automated scanned searches of the number plates of motor vehicles, using Automatic Number Plate Recognition systems, Member States shall maintain a log of the search in accordance with national law. The content of this log shall be established by means of implementing measures in accordance with the examination procedure referred to in Article 72(2). Where a positive match is achieved against data stored in SIS, or a national or technical copy of SIS data, a full search shall be carried out in SIS in order to verify that a match has indeed been achieved. The provisions of paragraphs 1 to 6 of this Article shall apply to this full search.

8. The Commission shall adopt implementing acts to establish the content of the log, referred to in paragraph 7. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 72(2).

Article 13*

Self-monitoring

Member States shall ensure that each authority entitled to access SIS data takes the measures necessary to comply with this Regulation and cooperates, where necessary, with the national supervisory authority.

Article 14*

Staff training

Before being authorised to process data stored in SIS and periodically after access to SIS data has been granted, the staff of the authorities having a right to access SIS shall receive appropriate training about data-security, data-protection rules and the procedures on data processing as set out in the SIRENE Manual. The staff shall be informed of any relevant criminal offences and penalties.
CHAPTER III

RESPONSIBILITIES OF THE AGENCY

Article 15

Operational management

1. The Agency shall be responsible for the operational management of Central SIS. The Agency shall, in cooperation with the Member States, ensure that at all times the best available technology, using a cost-benefit analysis, is used for Central SIS.

2. The Agency shall also be responsible for the following tasks relating to the Communication Infrastructure.

   (a) supervision;

   (b) security;

   (c) the coordination of relations between the Member States and the provider;

3. The Commission shall be responsible for all other tasks relating to the Communication Infrastructure, in particular:

   (a) tasks relating to implementation of the budget;

   (b) acquisition and renewal;

   (c) contractual matters.

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23 Articles 15 –18 are also applicable to the Returns Proposal by virtue of Article 13 of the Returns Proposal.

24 This provision would be redrafted in the context of the coming proposals on eu-LISA.

25 This provision would be redrafted in the context of the coming proposals on eu-LISA.
4. The Agency shall also be responsible for the following tasks relating to the SIRENE Bureaux and communication between the SIRENE Bureaux:

(a) the coordination, management and support of testing activities.\(^\text{26}\)

(b) the maintenance and update of technical specifications for the exchange of supplementary information between SIRENE Bureaux and the Communication Infrastructure and managing the impact of technical changes where it affects both SIS and the exchange of supplementary information between SIRENE Bureaux.

5. The Agency shall develop and maintain a mechanism and procedures for carrying out quality checks on the data in CS-SIS and shall provide regular reports to the Member States\(^\text{27}\). The Agency shall provide a regular report to the Commission covering the issues encountered and the Member States concerned. This mechanism, procedures and the interpretation of data quality compliance shall be established by means of implementing measures in accordance with the examination procedure referred to in Article 72(2).\(^\text{28}\)

6. Operational management of Central SIS shall consist of all the tasks necessary to keep Central SIS functioning 24 hours a day, seven days a week in accordance with this Regulation, in particular the maintenance work and technical developments necessary for the smooth running of the system. Those tasks also include the coordination, management and support of testing activities for Central SIS and the national systems, ensuring that Central SIS and the national systems operate in accordance with the technical and functional requirements in accordance with Article 9 of this Regulation.

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\(^\text{26}\) NL, PT, RO, eu-LISA expressed concerns on this provision.

\(^\text{27}\) eu-LISA would prefer more clear provisions on its competences regarding access to data.

\(^\text{28}\) Text moved to new paragraph 7.
7. The Commission shall adopt implementing acts to establish the mechanism and procedures for the quality checks on the data in CS-SIS, referred to in paragraph 5, and the interpretation of data quality compliance. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 72(2).

Article 16

Security

1. The Agency shall adopt the necessary measures, including of a security plan, a business continuity plan and a disaster recovery plan for Central SIS and the Communication Infrastructure in order to:

(a) physically protect data, including by making contingency plans for the protection of critical infrastructure;

(b) deny unauthorised persons access to data-processing facilities used for processing personal data (facilities access control);

(c) prevent the unauthorised reading, copying, modification or removal of data media (data media control);

(d) prevent the unauthorised input of data and the unauthorised inspection, modification or deletion of stored personal data (storage control);

(e) prevent the use of automated data-processing systems by unauthorised persons using data communication equipment (user control);

(f) ensure that persons authorised to use an automated data-processing system have access only to the data covered by their access authorisation by means of individual and unique user identifiers and confidential access modes only (data access control);

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29 Text moved from paragraph 5.
* Article deemed as agreed at the Working Party level.
30 eu-LISA asked to include in recital 40 a reference to Commission Decision 2017/46.
(g) create profiles describing the functions and responsibilities for persons who are authorised to access the data or the data processing facilities and make these profiles available to the European Data Protection Supervisor referred to in Article 64 without delay upon its request (personnel profiles);

(h) ensure that it is possible to verify and establish to which bodies personal data may be transmitted using data communication equipment (communication control);

(i) ensure that it is subsequently possible to verify and establish which personal data have been input into automated data-processing systems, when and by whom the data were input (input control);

(j) prevent the unauthorised reading, copying, modification or deletion of personal data during transfers of personal data or during transportation of data media in particular by means of appropriate encryption techniques (transport control);

(k) monitor the effectiveness of the security measures referred to in this paragraph and take the necessary organisational measures related to internal monitoring to ensure compliance with this Regulation (self-auditing).

2. The Agency shall take measures equivalent to those referred to in paragraph 1 as regards security in respect of the processing and exchange of supplementary information through the Communication Infrastructure.
Article 17*
Confidentiality – The Agency

1. Without prejudice to Article 17 of the Staff Regulations of officials and the Conditions of Employment of other servants of the European Union, the Agency shall apply appropriate rules of professional secrecy or other equivalent duties of confidentiality of comparable standards to those laid down in Article 11 of this Regulation to all its staff required to work with SIS data. This obligation shall also apply after those persons leave office or employment or after the termination of their activities.

2. The Agency shall take measures equivalent to those referred to in paragraph 1 as regards confidentiality in respect of the exchange of supplementary information through the Communication Infrastructure.

Article 18*
Keeping of logs at central level

1. The Agency shall ensure that every access to and all exchanges of personal data within CS-SIS are logged for the purposes mentioned in Article 12(1).

2. The logs shall show, in particular, the history of the alerts, the date and time of the data transmitted, the type of data used to perform searches, the reference to the type of data transmitted and the individual and unique user identifiers of the competent authority responsible for processing the data.

3. If the search is carried out with dactylographic data or facial image in accordance with Articles 40, 41 and 42 the logs shall show, in particular, the type of data used to perform the search, a reference to the type of data transmitted and the names of both the competent authority and the person responsible for processing the data.

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31 Singular, as in Article 12(2).
32 Same wording as in Articles 10(1)(f) and 12(2) and (3).
4. The logs may only be used for the purposes mentioned in paragraph 1 and shall be deleted at the earliest one year, and at the latest three years, after their creation. The logs which include the history of alerts shall be erased after one to three years after deletion of the alerts.

5. Logs may be kept longer if they are required for monitoring procedures that are already underway.

6. The competent authorities in charge of checking whether or not a search is lawful, monitoring the lawfulness of data processing, self-monitoring and ensuring the proper functioning of CSIS, data integrity and security, European Data Protection Supervisor shall have access, within the limits of its competence and at its request, to those logs for the purpose of fulfilling its tasks.

CHAPTER IV

INFORMATION TO THE PUBLIC

Article 19

SIS information campaigns

The Commission, in cooperation with the national supervisory authorities and the European Data Protection Supervisor, shall regularly carry out campaigns informing the public about the objectives of SIS, the data stored, the authorities having access to SIS and the rights of data subjects. Member States shall, in cooperation with their national supervisory authorities, devise and implement the necessary policies to inform their citizens about SIS generally.

33 Article 19 is also applicable to the Returns Proposal by virtue of Article 13 of the Returns Proposal (15812/16).
CHAPTER V

CATEGORIES OF DATA AND FLAGGING

Article 20
Categories of data

1. Without prejudice to Article 8(1) or the provisions of this Regulation providing for the storage of additional data, SIS shall contain only those categories of data which are supplied by each of the Member States, as required for the purposes laid down in Articles 26, 32, 34, 36, 38 and 40.

2. The categories of data shall be as follows:

   (a) information on persons in relation to whom an alert has been issued;

   (b) information on objects referred to in Articles 32, 34, 36 and 38.

3. Any alert in the SIS which includes information on persons in relation to whom an alert has been issued shall only contain the following data:

   (c) surname(s);

   (d) forename(s);

   (e) name(s) at birth;

   (f) previously used names and aliases;

   (g) any specific, objective, physical characteristics not subject to change;

   (h) place of birth;

   (i) date of birth;

   (j) sex;

   (k) nationality/nationalities;
(l) whether the person concerned:

i. is armed;

ii. is violent;

iii. has absconded or escaped;

iv. poses a risk of suicide;

v. poses a risk to public health; or

vi. or is involved in a terrorism-related activity as referred to in Articles 1, 2, 3 and 4 of Council Framework Decision 2002/475/JHA on combating terrorism;

(m) reason for the alert;

(n) authority issuing the alert;

(o) a reference to the decision giving rise to the alert;

(p) action to be taken;

(q) link(s) to other alerts issued in SIS pursuant to Article 60;

(r) the type of offence for which the alert was issued;

(s) the person’s registration number in a national register

(t) a categorisation of the type of missing person case (only for alerts referred to in Article 32);

(u) the category of the person’s identification document;

(v) the country of issue of the person’s identification document;
(w) (u) the number(s) of the person’s identification document;

(x) (v) the date of issue of the person’s identification document;

(y) (w) photographs and facial images;

(z) (x) relevant DNA profiles subject to Article 22(1)(b) of this Regulation;

(aa) (y) dactylographic data;

(bb) (z) a colour copy of the identification document.

4. The technical rules necessary for entering, updating, deleting and searching the data referred to in paragraphs 2 and 3 shall be laid down and developed by means of implementing measures in accordance with the examination procedure referred to in Article 72(2).

5. The technical rules necessary for searching data referred to in paragraph 3 shall be laid down and developed in accordance with the examination procedure referred to in Article 72(2). These technical rules shall be similar for searches in CS-SIS, in national copies and in technical copies, as referred to in Article 53(2) and they shall be based upon common standards laid down and developed by means of implementing measures in accordance with the examination procedure referred to in Article 72(2).

Article 21

Proportionality

1. Before issuing an alert and when extending the validity period of an alert, Member States shall determine whether the case is adequate, relevant and important enough to warrant the existence of an alert in SIS.
2. Where a person or an object is sought by a Member State in relation to an offence that falls under Articles 3 to 14 of Directive 2017/541 of the European Parliament and of the Council on combating terrorism and replacing Council Framework Decision 2002/475/JHA on combating terrorism, the Member State shall, in all circumstances, create the corresponding alert, under either Article 34, 36 or 38 as appropriate, provided that it does not obstruct official or legal inquiries, investigations or procedures related to public or national security.

Article 22

Specific rules for entering photographs, facial images, dactylographic data and DNA profiles

1. The entering into SIS of data referred to in Article 20(3)(w), (x) and (y) shall be subject to the following provisions:

   (a) Photographs, facial images, dactylographic data and DNA profiles shall only be entered following a quality check to ascertain the fulfilment of a minimum data quality standard.

   (b) A DNA profile may only be added to alerts provided for in Article 32(2)(a) and (c) and only where photographs, facial images or dactylographic data suitable for identification are not available or not sufficient. The DNA profiles of persons who are direct ascendants, descendants or siblings of the alert subject may be added to the alert provided that those persons concerned gives explicit consent. The racial origin of the person shall not be included in the DNA profile.

2. Quality standards shall be established for the storage of the data referred to under paragraph 1(a) of this Article and Article 40. The specification of these standards shall be laid down by means of implementing measures and updated in accordance with the examination procedure referred to in Article 72(2).

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36 AT, BE, DE, FR, NL, SE, UK expressed concerns on the compulsory character of this provision. UK entered a reservation on this paragraph.
Article 23
Requirement for an alert to be entered

1. An alert on a person may not be entered without the data referred to in Article 20(3)(a), (g), (k), (m), (n) as well as, where applicable, (p), except for in the situations referred to in Article 40.\textsuperscript{37} All data listed in Article 20(3) shall be entered, where available.\textsuperscript{38}

2. Where available, all other data listed in Article 20(3) shall also be entered.\textsuperscript{39} An alert on a person may not be entered without the data referred to in Article 20(3)(a), (g), (k), (m), (n) as well as, where applicable, (m) and (p), except for in the situations referred to in Article 40.\textsuperscript{40}

Article 24
General provisions on flagging

1. Where a Member State considers that to give effect to an alert entered in accordance with Articles 26, 32\textsuperscript{37} and 36 is incompatible with its national law, its international obligations or essential national interests, it may subsequently require that a flag be added to the alert to the effect that the action to be taken on the basis of the alert will not be taken in its territory. The flag shall be added by the SIRENE Bureau of the issuing Member State.

2. In order to enable Member States to require that a flag be added to an alert issued in accordance with Article 26, all Member States shall be notified automatically about any new alert of that category by the exchange of supplementary information.

3. If in particularly urgent and serious cases, an issuing Member State requests the execution of the action, the Member State executing the alert shall examine whether it is able to allow the flag added at its behest to be withdrawn. If the executing Member State is able to do so, it shall take the necessary steps to ensure that the action to be taken can be carried out immediately.

\textsuperscript{37} Partially moved to paragraph 2.
\textsuperscript{38} Partially moved from paragraph 2.
\textsuperscript{39} Partially moved to paragraph 1.
\textsuperscript{40} Partially moved from paragraph 1.
Article 25

Flagging related to alerts for arrest for surrender purposes

1. Where Framework Decision 2002/584/JHA applies, a flag preventing arrest shall only be added to an alert for arrest for surrender purposes where the competent judicial authority under national law for the execution of a European Arrest Warrant has refused its execution on the basis of a ground for non-execution and where the addition of the flag has been required.

2. However, at the behest of a competent judicial authority under national law, either on the basis of a general instruction or in a specific case, a flag may also be required to be added to an alert for arrest for surrender purposes if it is obvious that the execution of the European Arrest Warrant will have to be refused.

CHAPTER VI

ALERTS IN RESPECT OF PERSONS WANTED FOR ARREST FOR SURRENDER OR EXTRADITION PURPOSES

Article 26

Objectives and conditions for issuing alerts

1. Data on persons wanted for arrest for surrender purposes on the basis of a European Arrest Warrant or wanted for arrest for extradition purposes shall be entered at the request of the judicial authority of the issuing Member State.

2. Data on persons wanted for arrest for surrender purposes shall also be entered on the basis of arrest warrants issued in accordance with Agreements concluded between the Union and third countries on the basis of Article 37 of the Treaty on the European Union for the purpose of surrender of persons on the basis of an arrest warrant, which provide for the transmission of such an arrest warrant via the SIS.
3. Any reference in this Regulation to provisions of the Framework Decision 2002/584/JHA shall be construed as including the corresponding provisions of Agreements concluded between the European Union and third countries on the basis of Article 37 the Treaty on the European Union for the purpose of surrender of persons on the basis of an arrest warrant which provide for the transmission of such an arrest warrant via SIS.

4. The issuing Member State may, in the case of an ongoing search operation, **where the purpose of the operation cannot be achieved by other measures** and following the authorisation of the relevant judicial authority of the issuing Member State, temporarily make an existing alert for arrest issued under Article 26 of this Regulation unavailable for searching to the effect that the alert shall not be searchable by the end-user and will only be accessible to the SIRENE Bureaux. This functionality shall be used for a period not exceeding 48 hours **with the authorisation of the relevant judicial authority of the issuing Member**. If operationally necessary, however, it may be extended by further periods of 48 hours. Member States shall keep statistics about the number of alerts where this functionality has been used.

**Article 27**

*Additional data on persons wanted for arrest for surrender purposes*

1. Where a person is wanted for arrest for surrender purposes on the basis of a European Arrest Warrant the issuing Member State shall enter in SIS a copy of the original of the European Arrest Warrant.

2. The issuing Member State may enter a copy of a translation of the European Arrest Warrant in one or more other official languages of the institutions of the European Union.
Article 28

Supplementary information on persons wanted for arrest for surrender purposes

The Member State which entered the alert in SIS for arrest for surrender purposes shall communicate the information referred to in Article 8(1) of Framework Decision 2002/584/JHA to the other Member States through the exchange of supplementary information.

Article 29

Supplementary information on persons wanted for arrest for extradition purposes

1. The Member State which entered the alert into SIS for extradition purposes shall communicate the following data to the other Member States through the exchange of supplementary information to all Member States:

(a) the authority which issued the request for arrest;

(b) whether there is an arrest warrant or a document having the same legal effect, or an enforceable judgment;

(c) the nature and legal classification of the offence;

(d) a description of the circumstances in which the offence was committed, including the time, place and the degree of participation in the offence by the person for whom the alert has been issued;

(e) in so far as possible, the consequences of the offence;

(f) any other information useful or necessary for the execution of the alert.

2. The data listed in paragraph 1 shall not be communicated where the data referred to in Articles 27 or 28 have already been provided and are considered sufficient for the execution of the alert by the Member State concerned.
Article 30
Conversion of alerts on persons wanted for arrest for surrender purposes or extradition purposes

Where an arrest cannot be made, either because a requested Member State refuses to do so, in accordance with the procedures on flagging set out in Articles 24 or 25, or because, in the case of an alert for arrest for extradition purposes, an investigation has not been completed, the requested Member State shall consider the alert as being an alert for the purposes of communicating the whereabouts of the person concerned.

Article 31
Execution of action based on an alert on a person wanted for arrest with a view to surrender or extradition

1. An alert entered in SIS in accordance with Article 26 together with the additional data referred to in Article 27, shall constitute and have the same effect as a European Arrest Warrant issued in accordance with Framework Decision 2002/584/JHA where this Framework Decision applies.

2. Where Framework Decision 2002/584/JHA does not apply, an alert entered in SIS in accordance with Articles 26 and 29 shall have the same legal force as a request for provisional arrest under Article 16 of the European Convention on Extradition of 13 December 1957 or Article 15 of the Benelux Treaty concerning Extradition and Mutual Assistance in Criminal Matters of 27 June 1962.
CHAPTER VII

ALERTS ON MISSING AND VULNERABLE PERSONS

Article 32
Objectives and conditions for issuing alerts

1. Data on missing persons or other persons who need to be placed under protection or whose whereabouts need to be ascertained shall be entered in SIS at the request of the competent authority of the Member State issuing the alert.

2. The following categories of missing persons may be entered in SIS at the request of the competent authority of the Member State issuing the alert:

   (a) missing persons who need to be placed under protection

      (i) for their own protection;

      (ii) in order to prevent threats;

   (b) missing persons who do not need to be placed under protection;

   (c) children at risk of abduction in accordance with paragraph 4; or

   (d) vulnerable persons who need to be prevented from travelling for their own protection.

3. Paragraph 2(a) shall apply in particular to children and to persons who have to be interned following a decision by a competent authority.
4. An alert on a child referred to in paragraph 2(c) shall be entered at the request of the competent judicial authorities, including judicial authorities of the Member States having jurisdiction in matters of parental responsibility, of the Member State that has jurisdiction in matters of parental responsibility in accordance with Council Regulation No. 2201/2003 where a concrete and apparent risk exists that the child may be unlawfully and imminently removed from the Member State where the competent judicial authorities are situated. In Member States which are party to the Hague Convention of 19 October 1996 on Jurisdiction, Applicable law, Recognition, Enforcement and Cooperation in Respect of Parental Responsibility and Measures for the Protection of Children and where Council Regulation No. 2201/2003 does not apply, the provisions of the Hague Convention are applicable.

The competent authority shall regularly review the need to retain the alert.

5. Member States shall ensure that the data entered in SIS indicate which of the categories referred to in paragraph 2 the missing person falls into. Further, Member States shall also ensure that the data entered in SIS indicate which type of missing or vulnerable person case is involved. The rules on the categorisation of the types of cases and the entering of such data shall be laid down and developed by means of implementing measures in accordance with the examination procedure referred to in Article 72(2).

6. Four months before a child who is the subject of an alert under this Article reaches the age of majority in accordance with the national law of the issuing Member State adulthood, CS-SIS shall automatically notify the issuing Member State that the reason for request and the action to be taken have to be updated or the alert has to be deleted.

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42 Moved to paragraph 8.
7. Where there is a clear indication that vehicles, boats or aircraft are connected with a person who is the subject of an alert pursuant to paragraph 2, alerts on those vehicles, boats and aircraft may be issued in order to locate the person. In those cases the alert on the missing person and the alert on the object shall be linked in accordance with Article 60. The technical rules necessary for entering, updating, deleting and searching the data referred to in this paragraph shall be laid down and developed by means of implementing measures in accordance with the examination procedure referred to in Article 72(2).

8. The Commission shall adopt implementing acts to lay down and develop rules on the categorisation of the types of cases and the entering of data referred to in paragraph 5 and technical rules necessary for entering, updating, deleting and searching the data referred to in paragraph 7. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 72(2).

Article 33

Execution of action based on an alert

1. Where a person as referred to in Article 32 is located, the competent authorities shall, subject to paragraph 2, communicate his or her whereabouts to the Member State issuing the alert. In the case of persons missing children or children who need to be placed under protection the executing Member State shall consult immediately its own competent authorities and those in the issuing Member State through the exchange of supplementary information in order to agree without delay on the measures to be taken in order to safeguard the best interest of the child. The competent authorities may, in the cases referred to in Article 32(2)(a) and (c), move the person to a safe place in order to prevent him or her from continuing his journey, if so authorised by national law. In the cases indicated in Article 32(2)(c) the competent authorities referred to in Article 32(4) shall be immediately informed about any hit.

43 Moved to paragraph 8.
44 Moved from paragraph 5 in fine and paragraph 7 in fine.
2. The communication, other than between the competent authorities, of data on a missing person who has been located and who is of age shall be subject to that person's consent. The competent authorities may, however, communicate the fact that the alert has been erased because the missing person has been located to the person who reported the person missing.

CHAPTER VIII

ALERTS ON PERSONS SOUGHT TO ASSIST WITH A JUDICIAL PROCEDURE

Article 34

Objectives and conditions for issuing alerts

1. For the purposes of communicating the place of residence or domicile of persons, Member States shall, at the request of a competent authority, enter in SIS data on:

   (a) witnesses;

   (b) persons summoned or persons sought to be summoned to appear before the judicial authorities in connection with criminal proceedings in order to account for acts for which they are being prosecuted;

   (c) persons who are to be served with a criminal judgment or other documents in connection with criminal proceedings in order to account for acts for which they are being prosecuted;

   (d) persons who are to be served with a summons to report in order to serve a penalty involving deprivation of liberty.
2. Where there is a clear indication that vehicles, boats or aircraft are connected with a person subject of an alert pursuant to paragraph 1, alerts on those vehicles, boats and aircraft may be issued in order to locate the person. In such cases the alerts on the person and the alert on the object shall be linked in accordance with Article 60. The technical rules necessary for entering, updating, deleting and searching the data referred to in this paragraph shall be laid down and developed by means of implementing measures in accordance with the examination procedure referred to in Article 72(2)\(^{45}\).

3.\(^{46}\) The Commission shall adopt implementing acts to lay down and develop technical rules necessary for entering, updating, deleting and searching the data referred to in paragraph 2. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 72(2).

*Article 35*

*Execution of the action based on an alert*

Requested information shall be communicated to the requesting Member State through the exchange of supplementary information.

\(^{45}\) Moved to paragraph 3.

\(^{46}\) Moved from paragraph 2, *in fine.*
CHAPTER IX

ALERTS ON PERSONS AND OBJECTS FOR DISCREET CHECKS, INQUIRY CHECKS OR SPECIFIC CHECKS

Article 36

Objectives and conditions for issuing alerts

1. Data on persons or vehicles, boats, aircraft and containers shall be entered in accordance with the national law of the Member State issuing the alert, for the purposes of discreet checks, inquiry checks or specific checks in accordance with Article 37(3), (4) and (5). When issuing alerts for the purposes of inquiry checks or specific checks, the issuing Member State shall provide all relevant information about the alert via its SIRENE Bureau. When issuing alerts for the purposes of discreet checks, the issuing Member State may, where appropriate or required by the SIRENE Manual, provide supplementary information via the SIRENE Bureau.

2. The alert may be issued for the purposes of preventing, detecting, investigating or prosecuting criminal offences, executing a criminal sentence and for the prevention of threats to public security:

(a) where there is a clear indication information or intelligence that a person intends to commit or is committing a serious crime, in particular the offences referred to in Article 2(2) of the Framework Decision 2002/584/JHA; or

(b) where the information referred to in Article 37(1) is necessary in the context of judicial cooperation in criminal matters or the execution of a criminal sentence of a person convicted of a serious crime, in particular in relation to the offences referred to in Article 2(2) of the Framework Decision 2002/584/JHA.
(c) where an overall assessment of a person, in particular on the basis of past criminal 
offences, gives reason to believe that that person may also commit serious crimes in the 
future, in particular the offences referred to in Article 2(2) of the Framework Decision 
2002/584/JHA.

3. In addition, an alert may be issued in accordance with national law, at the request of the 
authorities responsible for national security, where there is a concrete indication that the 
information referred to in Article 37(1) is necessary in order to prevent a serious threat by the 
person concerned or other serious threats to internal or external national security. The 
Member State issuing the alert pursuant to this paragraph shall inform the other Member 
States thereof. Each Member State shall determine to which authorities this information shall 
be transmitted via its SIRENE Bureau.

4. Where there is a clear indication information or intelligence that vehicles, boats, aircraft and 
containers are connected with the serious crimes referred to in paragraph 2 or the serious 
threats referred to in paragraph 3, alerts on those vehicles, boats, aircraft and containers may 
be issued and linked to the alerts inserted pursuant to paragraphs 2 and 3.

5. Where there is a clear indication information or intelligence that blank official documents, 
or issued identity documents or securities are connected with the serious crimes referred to 
in paragraph 2 or the serious threats referred to in paragraph 3, alerts on those documents, 
regardless of the identity of the original holder of the identity document, if any, may be 
issued. The technical rules necessary for entering, updating, deleting and searching the data 
referred to in this paragraph shall be laid down and developed by means of implementing 
measures in accordance with the examination procedure referred to in Article 72(2).49

6.50 The technical rules necessary for entering, updating, deleting and searching the data 
referred to in paragraphs (4) and (5) shall be laid down and developed by means of 
implementing measures in accordance with the examination procedure referred to in 
Article 72(2).

49 Moved to paragraph 6.
50 Moved from paragraph 5 in fine.
Article 37\textsuperscript{AI}

Execution of the action based on an alert

1. For the purposes of discreet checks, inquiry checks or specific checks, all or some of the following information shall be collected and communicated to the authority issuing the alert when border control checks, police and customs checks or other law enforcement activities are carried out for the prevention, detection, investigation or prosecution of criminal offences or the execution of criminal penalties, including the safeguarding against and the prevention of threats to public security\textsuperscript{52} within a Member State:

   (a) the fact that the person for whom, or the vehicle, boat, aircraft, container, blank official document or issued identity paper for which an alert has been issued, has been located;

   (b) the place, time and reason for the check;

   (c) the route of the journey and destination;

   (d) the persons accompanying the person concerned or the occupants of the vehicle, boat or aircraft or accompanying the holder of the blank official document or issued identity document who can reasonably be expected to be associated with the persons concerned;

   (e) the identity revealed and personal description of the person using the blank official document or issued identity paper subject of the alert;

   (f) the vehicle, boat, aircraft, or container, trailer or caravan used;

   (g) objects carried, including travel documents;

   (h) the circumstances under which the person or the vehicle, boat, aircraft, container, blank official document or issued identity paper was located;

   (i) other information, in relation to inquiry or specific checks, the collection of which may have been requested by the issuing Member State through the exchange of supplementary information.

\textsuperscript{51} AT, DE, FR, HU, IE, LV (on inquiry checks only), PL, SI, RO and UK have entered a scrutiny reservation on this Article.

\textsuperscript{52} In line with Article 43(c).
2. The information referred to in paragraph 1 shall be communicated through the exchange of supplementary information.

3. Depending on the operational circumstances and in accordance with national law, a **discreet check** shall comprise the discreet collection of as much information described in paragraph 1 as possible during routine activities carried out by the competent national authorities in terms of national law. The collection of this information shall not jeopardise the discreet nature of the checks.

4. Depending on the operational circumstances and in accordance with national law, an **inquiry check** shall comprise the more in-depth check and a questioning of the person. Where inquiry checks are not authorised by the law of a Member State, they shall be replaced by discreet checks in that Member State, in particular on the basis of specific questions provided by the issuing Member State at the time of entering the alert via the exchange of supplementary information. The questioning shall be carried out in accordance with the national law of the executing State. The person may be informed about the alert or the issuing authority. The alert itself does not provide a legal basis for temporary custody.

5. During specific checks, persons, vehicles, boats, aircraft, containers and objects carried, may be searched in accordance with national law for the purposes referred to in Article 36. Searches shall be carried out in accordance with national law. Where specific checks are not authorised by the law of a Member State, they shall be replaced by inquiry checks in that Member State.
CHAPTER X

ALERTS ON OBJECTS FOR SEIZURE OR USE AS EVIDENCE IN CRIMINAL PROCEEDINGS

Article 38
Objectives and conditions for issuing alerts

1. Data on objects sought for the purposes of seizure or use as evidence in criminal proceedings shall be entered in SIS.

2. The following categories of readily identifiable objects shall be entered:
   (a) motor vehicles, as defined by national law, regardless of the propulsion system;
   (b) trailers with an unladen weight exceeding 750 kg;
   (c) caravans;
   (d) industrial equipment;
   (e) boats;
   (f) boat engines;
   (g) containers;
   (h) aircraft;
   (i) firearms;
   (j) blank official documents which have been stolen, misappropriated, or lost or purport to be such a document but are false;
(k) issued identity documents such as passports, identity cards, driving licenses, residence permits and travel documents which have been stolen, misappropriated, lost or, invalidated or purport to be such a document but are falsified;

(l) vehicle registration certificates and vehicle number plates which have been stolen, misappropriated, lost, or invalidated or purport to be such a document or plate but are falsified;

(m) banknotes (registered notes) and falsified banknotes;

(n) technical equipment, information technology items and other high-value readily identifiable objects;

(na) information technology items;

(o) identifiable component parts of motor vehicles;

(p) identifiable component parts of industrial equipment;

(q) identifiable high-value objects, as defined in accordance with paragraph 3.

With regard to the documents referred to in paragraphs 2(j), (k) and (l), the issuing Member State may specify whether such documents are stolen, misappropriated, lost, invalidated or false.

3. The definition of new sub-categories of objects under paragraph 2(nq) and the technical rules necessary for entering, updating, deleting and searching the data referred to in paragraph 2 shall be laid down and developed by means of implementing measures in accordance with the examination procedure referred to in Article 72(2).

53 Moved to new point (na).
54 Moved to new point (q).
55 Moved from point (n).
56 Moved from point (n).
Article 39

Execution of the action based on an alert

1. Where a search brings to light an alert for an object which has been located, the authority which matched the two items of data shall in accordance with national law seize the object and contact the authority which issued the alert in order to agree on the measures to be taken. For this purpose, personal data may also be communicated in accordance with this Regulation.\textsuperscript{57}

2. The information referred to in paragraph 1 shall be communicated through the exchange of supplementary information.

3. The Member State which located the object shall take the requested measures in accordance with national law.

CHAPTER XI

ALERTS ON UNKNOWN WANTED PERSONS FOR IDENTIFICATION ACCORDING TO NATIONAL LAW AND SEARCH WITH BIOMETRIC DATA

Article 40

Alerts on unknown wanted person for apprehension identification under national law

Dactyloscographic data may be entered in SIS, not related to persons who are subject of the alerts. These dactyloscographic data shall be either complete or incomplete sets of fingerprints or palm prints discovered at the scenes of crimes under investigation, of serious crime and terrorist offence and where it can be established to a high degree of probability that they belong to the perpetrator of the offence. The dactyloscographic data in this category shall be stored as “unknown suspect or wanted person” provided that the competent authorities cannot establish the identity of the person by using any other national, European or international database.

\textsuperscript{57} NL entered a scrutiny reservation on this paragraph.
Article 41

Execution of the action based on an alert

In the event of a hit or a potential match with the data stored pursuant to Article 40, the identity of the person shall be established in accordance with national law, together with verification that the dactylographic data stored in SIS belong to the person. Member States shall communicate by using supplementary information in order to facilitate timely investigation of the case.

Article 42

Specific rules for verification or search with photographs, facial images, dactyloscographic data and DNA profiles

1. Photographs, facial images, dactyloscographic data and DNA profiles shall be retrieved from SIS to verify the identity of a person who has been located as a result of an alphanumeric search made in SIS.

2. Dactyloscographic data may also always be used to identify a person. Dactyloscographic data stored in SIS shall be searched for identification purposes if the identity of the person cannot be ascertained by other means.

3. Dactyloscographic data stored in SIS in relation to alerts issued pursuant to Articles 26, 34(1)-(b) and (d) and Article 36 may also be searched by using complete or incomplete sets of fingerprints or palm prints discovered at the scenes of crimes under investigation, and where it can be established to a high degree of probability that they belong to the perpetrator of the offence provided that the competent authorities are unable to establish the identity of the person by using any other national, European or international database.
4. As soon as this becomes technically possible, and while ensuring a high degree of reliability of identification, photographs and facial images may be used to identify a person. **Before this functionality is implemented, the Commission shall present a report on the availability and readiness of the required technology, on which the European Parliament shall be consulted.** Identification based on photographs or facial images shall only **may** be used at regular border crossing points where self-service systems and automated border control systems are in use.

**CHAPTER XII**

**RIGHT TO ACCESS AND RETENTION OF ALERTS**

**Article 43**

*Authorities having a right to access alerts*

1. **National competent authorities shall have access a**ccess to data entered in SIS and the right to search such data directly or in a copy of SIS data shall be reserved to the authorities responsible for the purposes of:

   (a) border control, in accordance with Regulation (EU) 2016/399 of the European Parliament and of the Council of 9 March 2016 on a Union Code on the rules governing the movement of persons across borders (Schengen Borders Code);

   (b) police and customs checks carried out within the Member State concerned, and the coordination of such checks by designated authorities;

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58 Similar to the wording of Article 22(c) of Regulation (EC) No 1987/2006 of 20 December on the establishment, operation and use of the second generation Schengen Information System (SIS II).

59 In line with Article 29 of the proposal for Border Checks (see 9593/17).
(c) other law enforcement activities carried out for the prevention, detection, and investigation or prosecution of criminal offences or the execution of criminal penalties, including the safeguarding against and the prevention of threats to public security within the Member State concerned; 60

(d) examining the conditions and taking decisions related to the entry and stay of third-country nationals on the territory of the Member States, including on residence permits, and long-stay visas, naturalisation, and to the return of third-country nationals.

(e) checks on third-country nationals who are illegally entering or staying on the territory of the Member States as well as on applicants for international protection and third-country nationals arriving at hotspot areas as defined in Article 2(10) of Regulation (EU) 2016/1624;

2. The right to access data entered in SIS and the right to search such data directly may also be exercised by national judicial authorities, including those responsible for the initiation of public prosecutions in criminal proceedings and for judicial inquiries prior to charge, in the performance of their tasks, as provided for in national law, and by their coordinating authorities.

3. The right to access data entered in SIS and to search such data directly may be exercised by the authorities competent to carry out the tasks referred to in paragraph 1(c) in the performance of these tasks. The access by these authorities shall be governed by the law of each Member State.

4. The authorities referred to in this Article shall be included in the list referred to in Article 53(8).

60 In line with text of Article 3(7) of Directive 2016/680.
Article 44

Vehicle registration authorities

1. The services in the Member States responsible for issuing registration certificates for vehicles, as referred to in Council Directive 1999/37/EC\(^{61}\), shall have access to the following data entered into SIS in accordance with Article 38(2)(a), (b), (c) and (l) of this Regulation for the sole purpose of checking whether vehicles presented to them for registration have been stolen, misappropriated or lost or are sought as evidence in criminal proceedings:

   (a) data on motor vehicles, as defined by national law, regardless of the propulsion system;

   (b) data on trailers with an unladen weight exceeding 750 kg and caravans;

   (c) data concerning vehicle registration certificates and vehicle number plates which have been stolen, misappropriated, lost or invalidated.

Access to those data by the services responsible for issuing registration certificates for vehicles shall be governed by the national law of that Member State.

2. Services as referred to in paragraph 1 that are government services shall have the right to access directly the data entered in SIS.

3. Services as referred to in paragraph 1 that are non-government services shall have access to data entered in SIS only through the intermediary of an authority as referred to in Article 43 of this Regulation. That authority shall have the right to access those data directly and to pass them on to the service concerned. The Member State concerned shall ensure that the service in question and its employees are required to respect any limitations on the permissible use of data passed on to them by the authority.

4. Article 39 of this Regulation shall not apply to access gained in accordance with this Article. The communication to the police or judicial authorities by services as referred to in paragraph 1 of any information brought to light by access to SIS which gives rise to suspicion of the commission of a criminal offence shall be governed by national law.

Article 45
Registration authorities for boats and aircraft

1. The services in the Member States responsible for issuing registration certificates or ensuring traffic management for boats, including boat engines and aircraft shall have access to the following data entered into SIS in accordance with Article 38(2) of this Regulation for the sole purpose of checking whether boats, including boat engines; aircraft or containers presented to them for registration or subject of traffic management have been stolen, misappropriated or lost or are sought as evidence in criminal proceedings:

(d) data on boats;
(e) data on boat engines;
(f) data on aircraft.

Subject to paragraph 2, the law of each Member State shall govern access to those data by those services in that Member State. Access to the data listed (a) to (c) above shall be limited to the specific competence of the services concerned.

2. Services as referred to in paragraph 1 that are government services shall have the right to access directly the data entered in SIS.

3. Services referred to in paragraph 1 that are non-government services shall have access to data entered in SIS only through the intermediary of an authority as referred to in Article 43 of this Regulation. That authority shall have the right to access the data directly and to pass those data on to the service concerned. The Member State concerned shall ensure that the service in question and its employees are required to respect any limitations on the permissible use of data conveyed to them by the authority.

4. Article 39 of this Regulation shall not apply to access gained in accordance with this Article. The communication to the police or judicial authorities by services as referred to in paragraph 1 of any information brought to light by access to SIS which gives rise to suspicion of a criminal offence shall be governed by national law.
Article 45A

Registration authorities for firearms

1. The services in the Member States responsible for issuing registration certificates for firearms, shall have access to data on wanted persons and firearms entered into SIS in accordance with Article 38(2) of this Regulation for the sole purpose of checking whether firearms presented to them for registration are wanted for seizure either because they are stolen, lost or misappropriated or for use as evidence in criminal proceedings or whether the person presenting the firearms for registration is a wanted person;

2. The law of each Member State shall govern access to those data by those services in that Member State. Access to those data shall be limited to the specific competence of the services concerned;

3. Services as referred to in paragraph 1 that are competent authorities shall have the right to access directly the data entered in SIS;

4. Services as referred to in paragraph 1 that are not competent authorities shall have access to data entered in SIS through intermediation by an authority mentioned in Article 43 of this regulation. The intermediating authority shall have the right to access the data directly and to pass those data on to the services concerned. The Member State shall ensure that the service in question and its employees are required to respect any limitations on the permissible use of data conveyed to them by the intermediating authority.

5. Article 39 shall not apply to access gained in accordance with this Article. The communication to the police or the judicial authorities by services as referred to in paragraph 1 of any information brought to light by access to SIS which gives rise to suspicion of a criminal offence shall be governed by national law.
Article 46

Access to SIS data by Europol

1. The European Union Agency for Law Enforcement Cooperation (Europol) shall have, within its mandate, the right to access and search data entered into SIS.

2. Where a search by Europol reveals the existence of an alert in SIS, Europol shall inform the issuing Member State via the exchange of supplementary information. Until such time that Europol has implemented this functionality, it shall inform the issuing Member State via channels defined by Regulation (EU) 2016/794.

3. The use of information obtained from a search in the SIS is subject to the consent of the issuing Member State concerned. If the Member State allows the use of such information, the handling thereof by Europol shall be governed by Regulation (EU) 2016/794. Europol may only communicate such information to third countries and third bodies with the consent of the issuing Member State concerned.

4. Europol may request further information from the Member State concerned in accordance with the provisions of Regulation (EU) 2016/794.  

5. Europol shall:

   (a) without prejudice to paragraphs 3, 4 and 6, not connect parts of SIS nor transfer the data contained therein to which it has access to any computer system for data collection and processing operated by or at Europol nor download or otherwise copy any part of SIS;

   (b) limit access to data entered in SIS to specifically authorised staff of Europol;

   (c) adopt and apply measures provided for in Articles 10 and 11;

   (d) allow the European Data Protection Supervisor to review the activities of Europol in the exercise of its right to access and search data entered in SIS.

62 In accordance with Regulation 2016/794, Europol may in any event request information related to mandated offences from the Member States. Therefore, paragraph 4 may be considered superfluous.
6. Data may only be copied for technical purposes, provided that such copying is necessary in order for duly authorised Europol staff to carry out a direct search. The provisions of this Regulation shall apply to such copies. The technical copy shall be used for the purpose of storing SIS data whilst those data are searched. Once the data have been searched they shall be deleted. Such uses shall not be construed to be an unlawful downloading or copying of SIS data. Europol shall not copy alert data or additional data issued by Member States or from CS-SIS into other Europol systems.

7. Any copies, as referred to in paragraph 6, which lead to off-line databases may be retained for a period not exceeding 48 hours. That period may be extended in an emergency until the emergency comes to an end. Europol shall report any such extensions to the European Data Protection Supervisor.

8. Europol may receive and process supplementary information on corresponding SIS alerts provided that the data processing rules referred to in paragraphs 25 to 7 are applied as appropriate.

9. For the purpose of verifying the lawfulness of data processing, self-monitoring and ensuring proper data security and integrity Europol should keep logs of every access to and search in SIS in accordance with Article 12. Such logs and documentation shall not be considered to be the unlawful downloading or copying of any part of SIS.

Article 47

Access to SIS data by Eurojust

1. The national members of Eurojust and their assistants shall, within their mandate, have the right to access and search data entered in SIS within their mandate, in accordance with Articles 26, 32, 34 38 and 40.

2. Where a search by a national member of Eurojust reveals the existence of an alert in SIS, he or she shall inform the issuing Member State.
3. Nothing in this Article shall be interpreted as affecting the provisions of Decision 2002/187/JHA concerning data protection and the liability for any unauthorised or incorrect processing of such data by national members of Eurojust or their assistants, or as affecting the powers of the Joint Supervisory Body set up pursuant to that Decision.

4. Every access and search made by a national member of Eurojust or an assistant shall be logged in accordance with the provisions of Article 12 and every use made by them of data accessed by them shall be logged.

5. No parts of SIS shall be connected to any computer system for data collection and processing operated by or at Eurojust nor shall the data contained in SIS to which the national members or their assistants have access be transferred to such a computer system. No part of SIS shall be downloaded. The logging of access and searches shall not be construed to be an unlawful download or copying of SIS data.

6. Access to data entered in SIS shall be limited to the national members and their assistants and shall not be extended to Eurojust staff.

7. Measures to ensure security and confidentiality as provided for in Articles 10 and 11 shall be adopted and applied.
Article 48

Access to SIS data by the European Border and Coast Guard teams, teams of staff involved in return-related tasks, and members of the migration management support teams.

1. In accordance with Article 40(8) of Regulation (EU) 2016/1624, the members of the European Border and Coast Guard teams or teams of staff involved in return-related tasks as well as the members of the migration management support teams, set up in accordance with Articles 18, 20 and 32 of Regulation (EU) 2016/1624 shall, within their mandate and provided that they are authorised to carry out checks in accordance with Article 43, have the right to access and search data entered in SIS within their mandate (...). Access to data entered in SIS shall not be extended to any other team members.

2. Members of the European Border and Coast Guard teams or teams of staff involved in return-related tasks as well as the members of the migration management support teams shall exercise this right to access and search data entered in SIS in accordance with paragraph 1 via the technical interface set up and maintained by the European Border and Coast Guard Agency as referred to in Article 49(1).

3. Where a search by a member of the European Border and Coast Guard teams or teams of staff involved in return-related tasks or by a member of the migration management support teams reveals the existence of an alert in SIS, the issuing Member State shall be informed thereof. In accordance with Article 40 of Regulation (EU) 2016/1624, members of the teams may only act in response to an alert in SIS under instructions from and, as a general rule, in the presence of border guards or staff involved in return-related tasks of the host Member State in which they are operating. The host Member State may authorise members of the teams to act on its behalf.

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63 NL entered a scrutiny reservation with respect to the provisions regarding ETIAS.
65 Text moved from paragraph 5.
4. Every instance of access and every search made by a member of the European Border and Coast Guard teams or teams of staff involved in return-related tasks or by a member of the migration management support team shall be logged in accordance with the provisions of Article 12 and every use made by them of data accessed by them shall be logged.

5. Access to data entered in SIS shall be limited to a member of the European Border and Coast Guard teams or teams of staff involved in return-related tasks or by a member of the migration management support team and shall not be extended to any other team members.\(^6\)

6. The European Border and Coast Guard teams or teams of staff involved in return-related tasks or by members of the migration management support team and shall not be extended to any other team members. Teams shall take measures to ensure security and confidentiality as provided for in Articles 10 and 11 shall be adopted and applied.

Article 49\(^6\)

Access to SIS data by the European Border and Coast Guard Agency

1. For the purposes of Article 48(1) and paragraph 2 of this Article the European Border and Coast Guard Agency shall set up and maintain a technical interface which allows a direct connection to Central SIS.

2.\(^6\) The European Border and Coast Guard Agency shall, for the purpose of performing its tasks conferred on it by the Regulation establishing a European Travel Information and Authorisation System (ETIAS), have the right to access and search data entered in SIS, in accordance with Articles 26, 32, 34, 36 and 38(2)(j) and (k).

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\(^6\) Merged with paragraph 1.

\(^6\) NL entered a reservation on this Article.

\(^6\) Paragraph moved to Article 49A(1).
3. Where a verification by the European Border and Coast Guard Agency reveals the existence of an alert in SIS the procedure set out in Article 22 of Regulation establishing a European Travel Information and Authorisation System (ETIAS) applies.

4. Nothing in this Article shall be interpreted as affecting the provisions of Regulation (EU) 2016/1624 concerning data protection and the liability for any unauthorised or incorrect processing of such data by the European Border and Coast Guard Agency.

5. Every instance of access and every search made by the European Border and Coast Guard Agency shall be logged in accordance with the provisions of Article 12 and each use made of data accessed by them shall be registered.

6. Except where necessary to perform the tasks for the purposes of the Regulation establishing a European Travel Information and Authorisation System (ETIAS), no parts of SIS shall be connected to any computer system for data collection and processing operated by or at the European Border and Coast Guard Agency, nor shall the data contained in SIS to which the European Border and Coast Guard Agency has access be transferred to such a system. No part of SIS shall be downloaded. The logging of access and searches shall not be construed to be the downloading or copying of SIS data.

7. Measures. The European Border and Coast Guard Agency shall take measures to ensure security and confidentiality as provided for in Articles 10 and 11 shall be adopted and applied by the European Border and Coast Guard Agency.

69 Paragraph moved to Article 49A(2).
Article 49A<sup>70</sup>

Access to SIS data by the ETIAS Central Unit

1. The European Border and Coast Guard Agency shall, for the purpose of performing its tasks conferred on it by the Regulation establishing a European Travel Information and Authorisation System (ETIAS), have the right to access and search data entered in SIS, in accordance with Articles 26, 32, 34, 36 and 38(2)(j) and (k).

2. Where a verification by the European Border and Coast Guard Agency reveals the existence of an alert in SIS the procedure set out in Article 22 of Regulation establishing a European Travel Information and Authorisation System (ETIAS) applies.

Article 49B<sup>71</sup>

Evaluation of the use of SIS by Europol, Eurojust and the European Border and Cost Guard Agency

The Commission shall carry out an evaluation of the operation and the use of SIS in accordance with this Regulation by Europol, Eurojust and the European Border and Cost Guard Agency at least every four years. To this end the Commission shall be assisted by a maximum of four experts designated by Member States. The Commission shall draw up an evaluation report in consultation with the designated Member State experts. Europol, Eurojust and the European Border and Cost Guard Agency respectively, shall be given the opportunity to make comments prior to the adoption of the report. The evaluation report shall be sent to the European Parliament and to the Council. The evaluation report shall be classified as EU RESTRICTED/RESTREINT UE in accordance with applicable security rules. Classification shall not preclude information being made available to the European Parliament.

70 Provisions moved from Article 49(2) and (3).
71 New Article on the evaluation of the Agencies.
Article 50
Scope of access

End-users, including Europol, the national members of Eurojust and their assistants, as well as the European Border and Coast Guard Agency, the members of the European Border and Coast Guard teams or teams of staff involved in return-related tasks as well as the members of the migration management support teams may only access data which they require for the performance of their tasks.

Article 51
Retention period of alerts

1. Alerts entered in SIS pursuant to this Regulation shall be kept only for the time required to achieve the purposes for which they were entered.

2. An issuing Member State issuing an alert shall, within five years of its entry into SIS, review the need to retain it. Alerts issued for the purposes of Article 36 of this Regulation shall be reviewed kept within for a maximum period of one year.

2a. Within the review period, the issuing Member State may, following a comprehensive individual assessment, which shall be logged, decide to keep the alert longer, should this prove necessary for the purposes for which the alert was issued. In such a case paragraph 2 shall apply also to the extension. Any extension of an alert shall be communicated to CS-SIS.72

3. Alerts on blank official documents, and issued identity documents or firearms entered in accordance with Article 38 shall be kept for a maximum of 10 years. Alerts on other objects issued pursuant to Articles 36 or 38 shall be kept for a maximum period of five years. The retention periods referred to in this paragraph may be extended, should this prove necessary for the purposes for which the alert was issued. In such cases, the same retention periods shall also apply to the extension. Shorter retention periods for categories of object alerts may be established by means of implementing measures adopted in accordance with the examination procedure referred to in Article 72(2).

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72 Text partially moved from paragraph 6.
4. Each Member State shall, where appropriate, set shorter review periods in accordance with its national law.

5. In cases where it becomes clear to staff in the SIRENE Bureau, who are responsible for coordinating and verifying of data quality, that an alert on a person has achieved its purpose and should be deleted from SIS, the staff shall notify the authority which created the alert to bring this issue to the attention of the authority. The authority shall have 30 calendar days from the receipt of this notification to indicate that the alert has been or shall be deleted or shall state reasons for the retention of the alert. If the 30-day period expires without such a reply, the alert shall, where permissible under national law, be deleted by the staff of the SIRENE Bureau. SIRENE Bureaux shall report any recurring issues in this area to their national supervisory authority.

6. Within the review period, the Member State issuing the alert may, following a comprehensive individual assessment, which shall be logged, decide to keep the alert longer, should this prove necessary for the purposes for which the alert was issued. In such a case paragraph 2 shall apply also to the extension. Any extension of an alert shall be communicated to CS-SIS.

7. Alerts shall automatically be erased after the review period referred to in paragraph 2 except where the Member State issuing the alert has informed CS-SIS about the extension of the alert pursuant to paragraph 62a. CS-SIS shall automatically inform the Member States of the scheduled deletion of data from the system four months in advance.

8. Member States shall keep statistics about the number of alerts for which the retention period has been extended in accordance with paragraphs 2a and 3.6.

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73 AT, DE, ES, PL, SI and CH expressed concerns regarding the deletion of alerts by the SIRENE Bureaux.
74 Moved to paragraph 2a.
CHAPTER XIII

DELETION OF ALERTS

Article 52
Deletion of alerts

1. Alerts for arrest for surrender or extradition purposes pursuant to Article 26 shall be deleted once the person has been surrendered or extradited to the competent authorities of the issuing Member State. They may also be deleted where the judicial decision on which the alert was based has been revoked by the competent judicial authority according to national law.

2. Alerts for missing or vulnerable persons shall be deleted in accordance with the following rules:

(a) Concerning missing children, children at risk of abduction and vulnerable persons who need to be prevented from travelling for their own protection pursuant to Article 32, an alert shall be deleted upon:

   – the resolution of the case, such as when the child has been repatriated or the competent authorities in the executing Member State have taken a decision on the care of the child);

   – the expiry of the alert in accordance with Article 51;

   – a decision by the competent authority of the issuing Member State; or

   – the location of the child; or

   – when the risk of abduction is no longer present.
(b) Concerning missing adults pursuant to Article 32, where no protective measures are requested, an alert shall be deleted upon:

– the execution of the action to be taken (whereabouts ascertained by the executing Member State);

– the expiry of the alert in accordance with Article 51; or

– a decision by the competent authority of the issuing Member State.

(c) Concerning missing adults where protective measures are requested, pursuant to Article 32, an alert shall be deleted upon:

– the carrying out of the action to be taken (person placed under protection);

– the expiry of the alert in accordance with Article 51; or

– a decision by the competent authority of the issuing Member State.

(d) Concerning vulnerable persons who need to be prevent from travel for their own protection, pursuant to Article 32, an alert shall be deleted upon:

– the carrying out of the action to be taken (person placed under protection);

– the expiry of the alert in accordance with Article 51; or

– a decision by the competent authority of the issuing Member State.\textsuperscript{75}

Subject to national law, where a person has been interned following a decision by a competent authority an alert may be retained until that person has been repatriated.

\textsuperscript{75} Text similar to that of point (c).
3. Alerts on persons sought for a judicial procedure shall be deleted in accordance with the following rules:

Concerning alerts on persons sought for a judicial procedure pursuant to Article 34 an alert shall be deleted upon:

(g) the communication of the whereabouts of the person to the competent authority of the issuing Member State. Where the information forwarded cannot be acted upon the SIRENE Bureau of the issuing Member State shall inform the SIRENE Bureau of the executing Member State in order to resolve the problem;

(h) the expiry of the alert in accordance with Article 51; or

(i) a decision by the competent authority of the issuing Member State.

Where a hit has been achieved in a Member State and the address details were forwarded to the issuing Member State and a subsequent hit in that Member State reveals the same address details the hit shall be logged in the executing Member State but neither the address details nor supplementary shall be resent to the issuing Member State. In such cases the executing Member State shall inform the issuing Member State of the repeated hits and the issuing Member State shall consider the need to maintain the alert.

4. Alerts on discreet, inquiry and specific checks shall be deleted in accordance with the following rules:

Concerning alerts on discreet, inquiry and specific checks, pursuant to Article 36, an alert shall be deleted upon:

(j) the expiry of the alert in accordance with Article 51;

(k) a decision to delete by the competent authority of the issuing Member State.
5. Alerts on objects for seizure or use as evidence shall be deleted in accordance with the following rules:

Concerning deletion of alerts on objects for seizure or use as evidence in criminal proceedings pursuant to Article 38 an alert shall be deleted upon:

(l) the seizure of the object or equivalent measure once the necessary follow-up exchange of supplementary information has taken place between SIRENE Bureaux or the object becomes subject of another judicial or administrative procedure;

(m) the expiry of the alert; or

(n) a decision to delete by the competent authority of the issuing Member State.

6. Alerts on unknown wanted persons pursuant to Article 40 shall be deleted in accordance with the following rules:

7. (a) the identification of the person; or

8. (b) the expiry of the alert.

CHAPTER XIV

GENERAL DATA PROCESSING RULES

Article 53
Processing of SIS data

1. The Member States may process the data referred to in Article 20 only for the purposes laid down for each category of alert referred to in Articles 26, 32, 34, 36, 38 and 40.
2. Data may only be copied for technical purposes, provided that such copying is necessary in order for the authorities referred to in Article 43 to carry out a direct search. The provisions of this Regulation shall apply to such copies. A Member State shall not copy alert data or additional data entered by another Member State from its N.SIS or from the CS-SIS into other national data files.

3. Technical copies, as referred to in paragraph 2, which lead to off-line databases may be retained for a period not exceeding 48 hours. That period may be extended in the event of an emergency until the emergency comes to an end.

4. Member States shall keep an up-to-date inventory of those copies, make that inventory available to their national supervisory authority, and ensure that the provisions of this Regulation, in particular those of Article 10, are applied in respect of those copies.

5. Access to data shall only be authorised within the limits of the competence of the national authorities referred to in Article 43 and to duly authorised staff.

6. With regard to the alerts laid down in Articles 26, 32, 34, 36, 38 and 40 of this Regulation, any processing of information contained therein for purposes other than those for which it was entered in SIS has to be linked with a specific case and justified by the need to prevent an imminent serious threat to public policy and public security, on serious grounds of national security or for the purposes of preventing a serious crime. Prior authorisation from the Member State issuing the alert shall be obtained for this purpose.

7. Any use of data which does not comply with paragraphs 1 to 6 shall be considered as misuse under the national law of each Member State.

8. Each Member State shall send, to the Agency, a list of its competent authorities which are authorised to search directly the data contained in SIS pursuant to this Regulation, as well as any changes to the list. The list shall specify, for each authority, which data it may search and for what purposes. The Agency shall ensure the annual publication of the list in the Official Journal of the European Union.

9. In so far as Union law does not lay down specific provisions, the law of each Member State shall apply to data entered in its N.SIS.
**Article 54**

*SIS data and national files*

1. Article 53(2) shall not prejudice the right of a Member State to keep in its national files SIS data in connection with which action has been taken on its territory. Such data shall be kept in national files for a maximum period of three years, except if specific provisions in national law provide for a longer retention period.

2. Article 53(2) shall not prejudice the right of a Member State to keep in its national files data contained in a particular alert issued in SIS by that Member State.

**Article 55**

*Information in case of non-execution of alert*

If a requested action cannot be performed, the requested Member State shall immediately inform the **issuing** Member State issuing the alert via the exchange of supplementary information.

**Article 56**

*Quality of the data processed in SIS*

1. An **issuing** Member State issuing an alert shall be responsible for ensuring that the data are accurate, up-to-date and entered in SIS lawfully.

2. Only the **issuing** Member State issuing an alert shall be authorised to modify, add to, correct, update or delete data which it has entered.

3. Where a Member State other than that which issued an alert has evidence suggesting that an item of data is factually incorrect or has been unlawfully stored, it shall, through the exchange of supplementary information, inform the issuing Member State at the earliest opportunity and not later than 10 days after the said evidence has come to its attention. The issuing Member State shall check the communication and, if necessary, correct or delete the item in question without delay.
4. Where the Member States are unable to reach agreement within two months of the time when the evidence first came to light, as described in paragraph 3, the Member State which did not issue the alert shall submit the matter to the **European Data Protection Supervisor who shall, jointly with the** national supervisory authorities concerned for a decision, **act as a mediator**.\(^{76}\)

5. The Member States shall exchange supplementary information where a person complains that he or she is not the person wanted by an alert. Where the outcome of the check shows that there are in fact two different persons the complainant shall be informed of the measures laid down in Article 59.

6. Where a person is already the subject of an alert in SIS, a Member State which enters a further alert shall reach agreement on the entry of the alert with the Member State which entered the first alert. The agreement shall be reached on the basis of the exchange of supplementary information.

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**Article 57**

**Security incidents**

1. Any event that has or may have an impact on the security of SIS and may cause damage or loss to SIS data shall be considered to be a security incident, especially where access to data may have occurred or where the availability, integrity and confidentiality of data has or may have been compromised.

2. Security incidents shall be managed to ensure a quick, effective and proper response.

3. Member States shall notify the Commission, the Agency and the national supervisory authority of security incidents. The Agency shall notify the Commission and the European Data Protection Supervisor of security incidents.

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\(^{76}\) Text inspired on Article 49(4) of Council Decision 2007/533/JHA.
4. Information regarding a security incident that has or may have an impact on the operation of SIS in a Member State or within the Agency or on the availability, integrity and confidentiality of the data entered or sent by other Member States shall be given to the Member States and reported in compliance with the incident management plan provided by the Agency.

**Article 58**

*Distinguishing between persons with similar characteristics*

Where it becomes apparent, when a new alert is entered, that there is already a person in SIS with the same identity description element, the following procedure shall apply:

1. the SIRENE Bureau shall contact the requesting authority to clarify whether or not the alert is on the same person;

2. where the cross-check reveals that the subject of the new alert and the person already in SIS are indeed one and the same, the SIRENE Bureau shall apply the procedure for entering multiple alerts as referred to in Article 56(6). Where the outcome of the check is that there are in fact two different persons, the SIRENE Bureau shall approve the request for entering the second alert by adding the necessary elements to avoid any misidentifications.

**Article 59**

*Additional data for the purpose of dealing with misused identities*

1. Where confusion may arise between the person actually intended as the subject of an alert and a person whose identity has been misused, the issuing Member State shall, subject to that person's explicit consent, add data relating to the latter to the alert in order to avoid the negative consequences of misidentification.
2. Data relating to a person whose identity has been misused shall be used only for the following purposes:

(a) to allow the competent authority to distinguish the person whose identity has been misused from the person actually intended as the subject of the alert;

(b) to allow the person whose identity has been misused to prove his or her identity and to establish that his or her identity has been misused.

3. For the purpose of this Article, only the following personal data may be entered and further processed in SIS:

(a) surname(s);

(b) forename(s);

(c) name(s) at birth;

(d) previously used names and any aliases possibly entered separately;

(e) any specific objective and physical characteristic not subject to change;

(f) place of birth;

(g) date of birth;

(h) sex or gender;

(i) photographs and facial images;

(j) fingerprints or dactyloscopic data;

(k) nationality or nationalities;

(l) the category of the person’s identity document;

(m) the country of issue of the person’s identity identification documents.
(n) the number(s) of the person’s identity identification documents.
(o) the date of issue of a person’s identity identification documents.
(p) address of the victim;
(q) victim's father’s name;
(r) victim's mother’s name.

4. The technical rules necessary for entering and further processing the data referred to in paragraph 3 shall be established by means of implementing measures laid down and developed in accordance with the examination procedure referred to in Article 72(2).

5. The data referred to in paragraph 3 shall be deleted at the same time as the corresponding alert or earlier where the person so requests.

6. Only the authorities having a right of access to the corresponding alert may access the data referred to in paragraph 3. They may do so for the sole purpose of avoiding misidentification.

Article 60

Links between alerts

1. A Member State may create a link between alerts it enters in SIS. The effect of such a link shall be to establish a relationship between two or more alerts.

2. The creation of a link shall not affect the specific action to be taken on the basis of each linked alert or the retention period of each of the linked alerts.

3. The creation of a link shall not affect the rights of access provided for in this Regulation. Authorities with no right of access to certain categories of alerts shall not be able to see the link to an alert to which they do not have access.

4. A Member State shall create a link between alerts when there is an operational need.
5. Where a Member State considers that the creation by another Member State of a link between alerts is incompatible with its national law or international obligations, it may take the necessary measures to ensure that there can be no access to the link from its national territory or by its authorities located outside its territory.

6. The technical rules for linking alerts shall be laid down and developed in accordance with the examination procedure referred to in Article 72(2).

**Article 61**

*Purpose and retention period of supplementary information*

1. Member States shall keep a reference to the decisions giving rise to an alert at the SIRENE Bureau in order to support the exchange of supplementary information.

2. Personal data held in files by the SIRENE Bureau as a result of information exchanged shall be kept only for such time as may be required to achieve the purposes for which they were supplied. They shall in any event be deleted at the latest one year after the related alert has been deleted from SIS.

3. Paragraph 2 shall not prejudice the right of a Member State to keep in national files data relating to a particular alert which that Member State has issued or to an alert in connection with which action has been taken on its territory. The period for which such data may be held in such files shall be governed by national law.

**Article 62**

*Transfer of personal data to third parties*

Data processed in SIS and the related supplementary information pursuant to this Regulation shall not be transferred or made available to third countries or to international organisations.
Article 63

Exchange of data on stolen, misappropriated, lost or invalidated passports with Interpol

1. By way of derogation from Article 62, the passport number, country of issuance and the document type of stolen, misappropriated, lost or invalidated passports entered in SIS may be exchanged with members of Interpol by establishing a connection between SIS and the Interpol database on stolen or missing travel documents, subject to the conclusion of an Agreement between Interpol and the European Union. The Agreement shall provide that the transmission of data entered by a Member State shall be subject to the consent of that Member State.

2. The Agreement referred to in paragraph 1 shall foresee that the data shared shall only be accessible to members of Interpol from countries that ensure an adequate level of protection of personal data. Before concluding this Agreement, the Council shall seek the opinion of the Commission on the adequacy of the level of protection of personal data and respect of fundamental rights and liberties regarding the automatic processing of personal data by Interpol and by countries which have delegated members to Interpol.

3. The Agreement referred to in paragraph 1 may also provide for access through SIS for the Member States to data from the Interpol database on stolen or missing travel documents, in accordance with the relevant provisions of this Decision governing alerts on stolen, misappropriated, lost and invalidated passports entered in SIS.
CHAPTER XV

DATA PROTECTION\footnote{77}{Articles 46 to 52 (Proposal on Border Checks) are also applicable to Returns by virtue of Article 13 of the Returns Proposal.}

\textit{Article 64}\footnote{78}{DE entered a scrutiny reservation on this Article, in particular with regard to the relation between the different instruments.}

Applicable legislation

1. Regulation (EC) No 45/2001 shall apply to the processing of personal data by the Agency under this Regulation.

2. Regulation (EU) 2016/679 shall apply to the processing of personal data provided that National provisions transposing Directive (EU) 2016/680 do not apply. For the processing of personal data by competent national authorities for the purposes of the prevention, investigation, detection, or prosecution of criminal offences of the execution of criminal penalties including the safeguarding against the prevention of threat to public security, national provisions transposing Directive. Where they do not apply, Regulation (EU) 2016/679 shall apply.\footnote{79}{Merged with paragraph 3, taking into account COM and CLS suggestions. Should be also reflected in recital 36.}

3. (…)\footnote{80}{Merged with paragraph 2.}

\textit{Article 65}

Right of access, rectification of inaccurate data and erasure of unlawfully stored data

1. The right of data subjects to have access to data relating to them entered in SIS and to have such data rectified or erased shall be exercised in accordance with the law of the Member State before which they invoke that right.

2. If national law so provides, the national supervisory authority shall decide whether information is to be communicated and by what means.
3. A Member State other than that which has issued an alert may communicate information to a data subject concerning such data only if it first gives the once each alert-issuing Member State an opportunity to state its consent position. This shall be done through the exchange of supplementary information.

4. A Member State shall take a decision not to communicate information to the data subject, in whole or in part, in accordance with national law, to the extent that, and for as long as such a partial or complete restriction constitutes a necessary and proportionate measure in a democratic society with due regard for the fundamental rights and legitimate interests of the natural person data subject concerned, in order notably to:

(a) avoid obstructing official or legal inquiries, investigations or procedures;

(b) avoid prejudicing the prevention, detection, investigation or prosecution of criminal offences or the execution of criminal penalties;

(c) protect public security;

(d) protect national security; or

(e) protect the rights and freedoms of others.

5. Any person has the right to have factually inaccurate data relating to him rectified or unlawfully stored data relating to him erased.

6. The person concerned Following an application for access, rectification or erasure, the data subject shall be informed as soon as possible and in any event not later than 60 days from the date on which he applies for access or sooner if national law so provides. The person concerned shall be informed about the follow-up given to the exercise of these rights of rectification and erasure as soon as possible and in any event not later than three months from the date on which he applies for rectification or erasure or sooner if national law so provides.

81 SE entered a scrutiny reservation on this paragraph.

82 Paragraph merged with paragraph 7.
7. The person concerned shall be informed about the follow-up given to the exercise of his rights of rectification and erasure as soon as possible and in any event not later than three months from the date on which he applies for rectification or erasure or sooner if national law so provides.  

Article 66*  
Remedies  

1. Any person may bring an action before the courts or the authority any competent authorities, including courts, under the law of any Member State to access, rectify, erase or obtain information or to obtain compensation in connection with an alert relating to him.  

2. The Member States undertake mutually to enforce final decisions handed down by the courts or authorities referred to in paragraph 1 of this Article, without prejudice to the provisions of Article 70.  

3. In order to gain a consistent overview of the functioning of remedies, the national authorities shall develop a standard statistical system for reporting annually on:
   
   (a) the number of subject access requests submitted to the data controller and the number of cases where access to the data was granted;  
   (b) the number of subject access requests submitted to the national supervisory authority and the number of cases where access to the data was granted;  
   (c) the number of requests for the rectification of inaccurate data and the erasure of unlawfully stored data to the data controller and the number of cases where the data were rectified or erased;  
   (d) the number of requests for the rectification of inaccurate data and the erasure of unlawfully stored data submitted to the national supervisory authority;  
   (e) SI, SK, NL suggested the deletion of this point. COM opposed.
(f) the number of cases where the court ruled in favour of the applicant in any aspect of the case; and

(g) any observations on cases of mutual recognition of final decisions handed down by the courts or authorities of other Member States on alerts created by the alert-issuing Member State.

The reports from the national supervisory authorities shall be forwarded to the cooperation mechanism set out in Article 69.

Article 67*
Supervision of N.SIS

1. Each Member State shall ensure that the national supervisory authority(ies) designated in each Member State and endowed with the powers referred to in Chapter VI of Directive (EU) 2016/680 or Chapter VI of Regulation (EU) 2016/679 monitor independently the lawfulness of the processing of SIS personal data on their territory and its transmission from their territory, and the exchange and further processing of supplementary information on their territory.

2. The national supervisory authority shall ensure that an audit of the data processing operations in its N.SIS is carried out in accordance with international auditing standards at least every four years. The audit shall either be carried out by the national supervisory authority, or the national supervisory authority(ies) shall directly order the audit from an independent data protection auditor. The national supervisory authority shall at all times retain control over and undertake the responsibilities of the independent auditor.

3. Member States shall ensure that their national supervisory authority has sufficient resources to fulfil the tasks entrusted to it under this Regulation.

85 NL suggested the deletion of this point.
Article 68*

Supervision of the Agency

1. The European Data Protection Supervisor shall ensure that the personal data processing activities of the Agency are carried out in accordance with this Regulation. The duties and powers referred to in Articles 46 and 47 of Regulation (EC) No 45/2001 shall apply accordingly.

2. The European Data Protection Supervisor shall ensure that an audit of the Agency's personal data processing activities is carried out in accordance with international auditing standards at least every four years. A report on that audit shall be sent to the European Parliament, the Council, the Agency, the Commission and the National Supervisory Authorities. The Agency shall be given an opportunity to make comments before the report is adopted.

Article 69*

Cooperation between national supervisory authorities and the European Data Protection Supervisor

1. The national supervisory authorities and the European Data Protection Supervisor, each acting within the scope of its respective competences, shall actively cooperate within the framework of their responsibilities and shall ensure coordinated supervision of SIS.

2. They shall, each acting within the scope of its respective competences, exchange relevant information, assist each other in carrying out audits and inspections, examine difficulties in the interpretation or application of this Regulation and other applicable legal acts of the Union, study problems that are revealed through the exercise of independent supervision or through the exercise of the rights of data subjects, draw up harmonised proposals for joint solutions to any problems and promote awareness of data protection rights, as necessary.
3. For the purposes laid down in paragraph 2, the national supervisory authorities and the European Data Protection Supervisor shall meet at least twice a year as part of the European Data Protection Board established by Regulation (EU) 2016/679. The costs and servicing of these meetings shall be borne by the Board established by Regulation (EU) 2016/679. Rules of procedure shall be adopted at the first meeting. Further working methods shall be developed jointly as necessary.

4. A joint report of activities as regards coordinated supervision shall be sent by the Board established by Regulation (EU) 2016/679 to the European Parliament, the Council, and the Commission every two years annually.

CHAPTER XVI

LIABILITY AND PENALTIES

Article 70

Liability

1. Each Member State shall be liable, in accordance with the national law, for any damage caused to a person through the use of N.SIS. This shall also apply to damage caused by the alert-issuing Member State, where the latter entered factually inaccurate data or stored data unlawfully.

2. Where the Member State against which an action is brought is not the Member State issuing the alert, the latter shall be required to reimburse, on request, the sums paid out as compensation unless the use of data by the Member State requesting reimbursement infringes this Regulation.

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86 Article 53 (Proposal on Border Checks) is also applicable to the Returns Proposal by virtue of Article 13 of the Returns Proposal.

87 "And Penalties" has been added, due to the inclusion of new Article 53A / 70A.
3. Where any failure by a Member State to comply with its obligations under this Regulation causes damage to SIS, that Member State shall be held liable for the damage, unless and in so far as the Agency or another Member States participating in SIS failed to take reasonable steps to prevent the damage from occurring or to minimise its impact.

**Article 70A**

**Penalties**

Member States shall ensure that any misuse of data entered in SIS or any exchange of supplementary information contrary to this Regulation is subject to effective, proportionate and dissuasive penalties in accordance with national law.

**CHAPTER XVII**

**FINAL PROVISIONS**

**Article 71**

**Monitoring and statistics**

1. The Agency shall ensure that procedures are in place to monitor the functioning of SIS against objectives, relating to output, cost-effectiveness, security and quality of service.

2. For the purposes of technical maintenance, reporting and statistics, the Agency shall have access to the necessary information relating to the processing operations performed in Central SIS.

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88 New Article, similar to Article 65 of Decision 2007/533/JHA.

89 Article 54 (Proposal on Border Checks) is also applicable to the Returns Proposal by virtue of Article 13 of the Returns Proposal.
3. The Agency shall produce, daily, monthly and annual statistics showing the number of records per category of alert, in total, and for each Member State. The Agency shall also provide reports on the annual number of hits per category of alert, how many times SIS was searched and how many times SIS was accessed for the purpose of entering, updating or deleting an alert, in total and for each Member State. The statistics produced shall not contain any personal data. The annual statistical report shall be published. The Agency shall also provide annual statistics on the use of the functionality on making an alert issued under pursuant to Article 26 of this Regulation temporarily non-searchable, in total and for each Member State, including any extensions to the retention initial non-searchable period of 48 hours.

4. Member States as well as Europol, Eurojust and the European Border and Coast Guard Agency shall provide the Agency and the Commission with the information necessary to draft the reports referred to in paragraphs 3, 5, 7 and 8.90

4a.91 This information shall include separate statistics on the number of searches carried out by, or on behalf of, by the services in the Member States responsible for issuing vehicle registration certificates and the services in the Member States responsible for issuing registration certificates or ensuring traffic management for boats, including boat engines; aircraft and containers. The statistics shall also show the number of hits per category of alert.

5. The Agency shall provide the Member States, the Commission, Europol, Eurojust and the European Border and Coast Guard Agency with any statistical reports that it produces. In order to monitor the implementation of legal acts of the Union, in particular the Council Regulation (EU) No 1053/201392, the Commission shall be able to request the Agency to provide additional specific statistical reports, either regular or ad-hoc, on the performance or use of SIS and SIRENE communication on the exchange of supplementary information.

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90 Text moved to paragraph 4a.
91 Moved from paragraph 4.
92 Council Regulation (EU) No 1053/2013 of 7 October 2013 establishing an evaluation and monitoring mechanism to verify the application of the Schengen acquis and repealing the Decision of the Executive Committee of 16 September 1998 setting up a Standing Committee on the evaluation and implementation of Schengen (OJ L 295, 6.11.2013, p. 27).
6. For the purpose of paragraphs 3, 4 and 5 of this Article and of Article 15(5), the Agency shall establish, implement and host a central repository in its technical sites containing the data referred to in paragraph 3 of this Article and in Article 15(5) which shall not allow for the identification of individuals and shall allow the Commission and the agencies referred to in paragraph 5 to obtain bespoke reports and statistics. The Agency shall grant access to Member States, the Commission, Europol, Eurojust and the European Border and Coast Guard Agency to the central repository by means of secured access through the Communication Infrastructure with control of access and specific user profiles solely for the purpose of reporting and statistics.93

Detailed rules on the operation of the central repository and the data protection and security rules applicable to the repository shall be adopted by means of implementing measures adopted in accordance with the examination procedure referred to in Article 72(2).

7. Two years after SIS is brought into operation and every two years thereafter, the Agency shall submit to the European Parliament and the Council a report on the technical functioning of Central SIS and the Communication Infrastructure, including the security thereof, and the bilateral and multilateral exchange of supplementary information between Member States.

8. Three years after SIS is brought into operation and every four years thereafter, the Commission shall produce an overall evaluation of Central SIS and the bilateral and multilateral exchange of supplementary information between Member States. That overall evaluation shall include an examination of results achieved against objectives, and an assessment of the continuing validity of the underlying rationale, the application of this Regulation in respect of Central SIS, the security of Central SIS and any implications for future operations. The Commission shall transmit the evaluation to the European Parliament and the Council.

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93 Text moved to paragraph 9.
9. The Commission shall adopt implementing acts to lay down and develop detailed rules on the operation of the central repository referred to in paragraph 6 and security rules applicable to that repository. Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 72(2).

Article 72
Committee procedure

1. The Commission shall be assisted by a committee within the meaning of Regulation (EU) No 182/2011.

2. Where reference is made to this paragraph, Article 5 of Regulation (EU) No 182/2011 shall apply.

Article 73*
Amendments to Regulation (EU) 515/201495

Regulation (EU) 515/201496 is amended as follows:

In Article 6, the following paragraph 6 is inserted:

“6. During the development phase Member States shall receive an additional allocation of 36.8 million EUR to be distributed via a lump sum to their basic allocation and shall entirely devote this funding to SIS national systems to ensure their quick and effective upgrading in line with the implementation of Central SIS as required in Regulation (EU) 2018/... * and in Regulation (EU) 2018/... **

94 Text moved from paragraph 6, in fine.
95 UK is not participating in this Regulation.
Article 74∗
Repeal

Upon the date of application of this Regulation the following legal acts are repealed:


Council Decision 533/2007/JHA of 12 July 2007 on the establishment, operation and use of the second generation Schengen Information System (SIS II);


Article 75∗
Entry into force and applicability

1. This Regulation shall enter into force on the 20th day following its publication in the Official Journal of the European Union.

2. It shall apply from the date fixed by the Commission after:

(a) the necessary implementing measures have been adopted;

(b) Member States have notified the Commission about that they have made the necessary technical and legal arrangements to process SIS data and exchange supplementary information pursuant to this Regulation;

97 Article removed, as this instrument does not amend Regulation (EU) 515/2014.
(c) The Agency has notified the Commission about the successful completion of all testing activities with regard to CS-SIS and the interaction between CS-SIS and N.SIS.

3. This Regulation shall be binding in its entirety and directly applicable to Member States in accordance with the Treaty on the Functioning of the European Union.